



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/670,802	09/26/2003	Victor Yodaiken	40101/13901 (2008.005)	2648
30636 7590 04/28/2009 FAY KAPLUN & MARCIN, LLP 150 BROADWAY, SUITE 702 NEW YORK, NY 10038				
EXAMINER				
VO, TED T				
ART UNIT		PAPER NUMBER		
2191				
MAIL DATE		DELIVERY MODE		
04/28/2009		PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/670,802

Applicant(s)

YODAIKEN ET AL.

Examiner

TED T. VO

Art Unit

2191

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 12 February 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,2,4-7 and 10-68 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,2,4-7 and 10-68 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/S5108)
Paper No(s)/Mail Date 1/7/09
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

1. This action is in response to the communication filed on 02/12/2009.

Claims 1-2, 4-7, 10-68 are pending in the application.

Response to Arguments

2. This is in response to the arguments filed in the Remarks on 02/12/2009.

It should be noted that:

Dynamic link libraries (DLL) are collections of small programs, any of which can be called when needed by a larger program that is running in the computer. The small program that lets the larger program communicate with a specific device such as a printer or scanner is often packaged as a DLL program (usually referred to as a DLL file). DLL files that support specific device operation are known as device drivers. DLL files are an example of files that may be compiled at run-time.

The advantage of DLL files is that, because they don't get loaded into random access memory (RAM) together with the main program, space is saved in RAM. When and if a DLL file is needed, then it is loaded and executed. For example, as long as a user of Microsoft Word.RTM. is editing a document, the printer DLL file does not need to be loaded into RAM. If the user decides to print the document, then the Word application causes the printer DLL file to be loaded into the execution space for execution.

A DLL file is often given a ".dll" file name suffix. DLL files are dynamically linked with the program that uses them during program execution rather than being compiled with the main program. The set of such files (or the DLL) is somewhat comparable to the library routines provided with programming languages such as Fortran, Basic, PASCAL programming language, C, C++, C#, etc.

3. With regards to the argument to the rejection under 112 second paragraph, the argument is not persuasive. If it is a "hardware" computer system, it does not function in the manner of the

Art Unit: 2191

means plus as recited in the claims. If the claims recite “computer system” as a narrow limitation, it falls in the broad range of means plus functions. The claims recite the means plus function without hardware connected to scope of “computer system”, then the scope of the claim is indefinite. Furthermore, the means plus functions recited in the claims fail to be identified with the structure, material, or acts described in the specification as corresponding to each claimed functions. Within the scope of the claims, it is unable to interpret the term “computer system” as a hardware machine. Since its means plus functions cover all other things include software elements, the recitations render the claims indefinite.

With regards to the rejection of the claims under 101: The claim is interpreted as software; software per se fails to meet the statutory claim.

With regards to the arguments directly to the claimed rejection under Shirkable and Adams, where the claims are amended with

wherein the executable program is insulated from the running operating system kernel, and wherein the insulating of the executable program allows the executable program to perform a function by executing a routine that is standard to the executable program instead of by using a routine that pertains to the running operating system kernel.

As given in the definition of Dynamic link libraries (DLL), a DLL is an executable program that is insulated from the running operating system kernel; when it is called, it is dynamically loaded into the operating system kernel. The above new recitation tends to redefine the meaning of DLL, which would not make the functionality of the claims distinct from the references. On the other hand, the word “allows” in “allows the executable program to perform a function by executing a routine that is standard to the executable program instead of by using a routine that

pertains to the running operating system kernel” does not cause the claims having the functionality for executing a routine, because using “allows” means not causing the functionality to occur, It is intended rather to cause or prohibit from doing the recited acts. Therefore, the recitation does not cause any distinction from the reference in combination.

Claim Rejections - 35 USC § 112

4. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

5. Claim 34-51 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 34-51 recite "computer system" but no elements in the system can be the elements of a hardware computer. It cannot be clear the meanings of "computer system" recited in the claims. It is known that a computer comprises the hardware elements such as processor, memory, peripheral devices, etc. The recitations of these claims recite a series of means plus functions.

Even, the intendment recites:

wherein the insulating of the application code allows the application code to perform a function by executing a routine that is standard to the application code instead of by using a routine that pertains to the running operating system kernel (emphasis added);

it does not cause any functionality to occur in the so called "computer system". The language like "permitting" or "allowing" the application code to do something does not cause any act of the first means.

It is indefinite because this limitation with means plus fails for pointing out the claimed subject matters. Interpretation for the limitation as a technique in light of the specification is any of (spec):

systems, computers, devices, components, techniques, computer languages, storage techniques, software products and systems, operating systems, interfaces, hardware, etc. in order to provide a thorough understanding of the present invention. However, it will be apparent to one skilled in the art that the present invention may be practiced in other embodiments that depart from these specific details. Detailed descriptions of well-known systems, computers, devices, components, techniques, computer languages, storage techniques, software products and systems, operating systems, interfaces, and hardware are omitted so as not to obscure the description of the present invention.

Claim Rejections - 35 USC § 101

6. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

7. Claims 34-51 recite "computer system", where the claims recite means plus functions.

The newly added limitation "allows" does not cause the action or recited limitation to perform.

The means used in the claims does not have any element to make the means performed by a hardware system. Therefore, the claimed language is identified to “systems, computers, devices, components, techniques, computer languages, storage techniques, software products and systems, operating systems, interfaces, hardware, etc”, as in the specification. Thus, the claims cover software per se named as “computer system”. The claims include software per se that fails to meet 35 USC 101.

Claim Rejections - 35 USC § 103

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

A person shall be entitled to a patent unless –

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

9. Claims 1-2, 4-7, 10-68 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shirakabe et al., US Pat No. 5,136,709 A in view of Adams et al., US Pat No. 5,778,226 A.

As per claim 5: Shirakabe discloses,

A method, comprising: creating a loadable module; (See FIG. 1)

creating an executable program;

and executing the executable program, wherein the executable program performs a method comprising the steps of:

setting up input/output channels by connecting to a standard input and standard out of a running operating system kernel to the module input and module output;

inserting the loadable module into address space of the running operating kernel, wherein, once the loadable the module is inserted into the operating system address space, the loadable module begins to execute; and

waiting for the loadable module to connect via kernel/user channels and then connecting those kernel/user channels to the input/output channels, wherein the executable program is insulated from the running operating system kernel, and wherein the insulating of the executable program allows the executable program to perform a function by executing a routine that is standard to the executable program instead of by using a routine that pertains to the running operating system kernel.

(Shirakabe mentions prior art acts: first of all a user creates a loadable program in his computer environment, where the loadable program (such as an object module or load module in FIG. 1) needs loaded in an execution/or running kernel. The user simply generates an executable program that issues input/output request such as in FIG. 4, or 8 (within the claims is *setting up input/output channels*). The execution of the program will map the loadable program, according to input/output request, into the address space (see col. 1). In the disclosure, Shirakabe discloses an executable program (algorithm in FIGs 4-5, the program embedded in an editor of FIG. 1.

Since the loading is executed by the executable program, it needs time so that the execution encounter the instructions that perform the loading – in the reference it shows each driver is included with open and close routines (FIG. 8)).

- Shirakabe discloses the links that convert identification code into addresses as input/output (see Absattract); thus, to enable inter-reference operations to be achieved between a kernel and adding input/output between device drivers and kernel to cuase the drivers to be incorporated into the operating system (interpreted as *setting up input/output channels*).

-Shirakabe does not address the language of the claim “by connecting to a standard input and standard out of a running system kernel to the module input and module output”.

- However, Adams et al. discloses the limitation by using a config that establishes routing for connects input/output a kernel to modules (See FIG. 3A, FIG. 8, and See FIG. 10, which shows a standard input/output of a running kernel is mapped to a selector for connecting to input/output of routines). The disclosure appears for requirement of the art because without connection, because without the handshake of the selector (channel), there is no way for running.

Therefore, it is obvious to any ordinary in the art for combining the teachings, i.e. a standard input and input handshaking (appeared being admitted by the language of the claim as being “standardized” from a given kernel) for supporting devices modules with kernel which is required by computer operating system. Thus, with input/output selector of Adams is for conforming to the requirement, it is obvious for yielding predictable results when it is combined into the dynamically loading modules of Shirakabe.

As per claim 6: Shirakabe further discloses, *The method of claim 5, wherein after the loadable module is inserted into the address space the loadable module performs a method comprising the steps of: creating kernel/user channels* (See FIG. 1, FIG. 8);

creating a thread to execute application code (e.g. see col. 6:27:30, or col. 5:45-46: CALL KSUBm);

and waiting for the thread to complete. E.g. see FIG. 1, an address space YYY is call and RETURN);

As per claim 7: Shirakabe further discloses, *The method of claim 6, wherein the method performed by the loadable module further includes the step of freeing resources after the thread completes.*

It recites a principle of execution. For example, a user finishes his job – See FIG. 6, OPEN/CLOSE routines to perform the opening or completion.

As per Claim 1: Shirakabe discloses,

A system for dynamically linking application code created by a programmer into a running operating system kernel, comprising:

an environment library comprising one or more routines for insulating the application code from the operating system environment and for implementing a uniform execution environment, wherein the insulating of the application code allows the application code to perform a function by executing a routine that is standard to the application code instead of by using a routine that pertains to the running operating system kernel; and

(see FIG. 1, the computer environment implement the linkage editor, and refer to the means of DLL)

a build system for constructing a loadable module from the application code and the environment library and for constructing a standard executable program from the loadable module and an execution library, wherein the execution library comprises one or more routines for transparently loading the loadable module into the running operating system kernel, passing arguments to the loadable module, and terminating and unloading the loadable module after receiving a termination signal the one or more routines of the execution library setting up input/output channels by connecting to a standard input and standard out of a running operating system kernel to the module input and module output

(See FIG. 1. it is a built system for constructing Load Modules (2), including Linkage Library, where a loadable program is transparently loading via a Linkage editor. See the process in FIG. 1 from Linage library, 12, to 7, to 6, to 16).

- Shirakabe discloses the links that convert identification code into addresses as input/output (see Absatract); thus, to enable inter-reference operations to be achieved between a kernel and adding input/output between device drivers and kernel to cuase the drivers to be incorporated into the operating system (interpreted as *setting up input/output channels*).

-Shirakabe does not address the language of the claim "by connecting to a standard input and standard out of a running system kernel to the module input and module output".

However, Adams et al. discloses the limitation by using a config that establishes routing for connects input/output a kernel to modules (See FIG. 3A, FIG. 8, and See FIG. 10, which shows a

Art Unit: 2191

standard input/output of a running kernel is mapped to a selector for connecting to input/output of routines). The disclosure appears for requirement of the art because without connection, because without the handshake of the selector (channel), there is no way for running.

Therefore, it is obvious to any ordinary in the art for combining the teachings, i.e. a standard input and input handshaking (appeared being admitted by the language of the claim as being “standardized” from a given kernel) for supporting devices modules with kernel which is required by computer operating system. Thus, with input/output selector of Adams is for conforming to the requirement, it is obvious for yielding predictable results when it is combined into the dynamically loading modules of Shirakabe.

As per Claim 2: Shirakabe further discloses, *The system of claim 1, further comprising an infrastructure library comprising one or more routines executed prior to loading the loadable module into the running operating system kernel and/or after unloading the loadable module from the kernel.* (It should be noted that in a standard computer, a directory of stored files is a library: using the languages such as *environment library*, an *execution library*, infrastructure library will not be distinguishable from the memory storage or shared libraries that existed in every computer system. The reference is in a computer environment that includes such memory storage, shared libraries, where every program in this storage can be executed for verification before loaded).

As per Claim 4: Shirakabe further discloses, *The system of claim 1, wherein the standard executable program may be in several files or a single file* (It just a program).

As per claim 10: Shirakabe further discloses, *The system of claim 1, wherein one of the one or more routines of the execution library includes code for executing a utility for installing the loadable module into the running operating system kernel* (See FIG. 1, where “editor” has means for executing a utility for installing the loadable module).

As per Claim 11: Shirakabe further discloses, *The system of claim 10, wherein the utility for installing the loadable module into the running operating system kernel is the insmod program* (FIG 1, with Linkage editor has either means of “insmod” or “mesg”, or “rmmod” as given broad interpretation in light of the specification).

As per Claim 12: Shirakabe further discloses, *The system of claim 1, wherein the build system includes instructions for compiling the application code into object code* (The loadable module is executable code (FIG 1, “Object Modules”); in a computer, ever Object code/executable code must be from compilation).

As per Claim 13: Shirakabe further discloses, *The system of claim 12, wherein the build system further includes instructions for linking said object code with object code from the environment library to produce a linked object module.* (See in FIG. 1)

As per Claim 14: Shirakabe further discloses, *The system of claim 13, wherein the build system further includes instructions for converting the linked object module into a C code array* (OBJECT MODULES has means of C code Array).

As per Claim 15: Shirakabe further discloses, *The system of claim 13, wherein the build system further includes instructions for compiling the C code array to produce an object file and for linking said object file with object code from the execution library to produce the standard*

executable program (OBJECT MODULES in FIG 1, has means of being compiled from instructions in a compiler).

As per Claim 16: Shirakabe further discloses, *The system of claim 1, wherein the environment library includes one or more routines to create kernel/user channels* (Claiming routines is claiming program per se, where the reference shows such limitations in FIG. 8).

As per Claim 17: Shirakabe further discloses, *The system of claim 1, wherein the environment library includes one or more routines to create a thread to execute the application code.*

(Claiming routines is claiming program per se, where the reference shows OBJECT MODULES are of an application and these MODULES are executable).

As per Claim 18: Shirakabe further discloses, *The system of claim 17, wherein the environment library includes one or more routines for freeing resources and unloading the loadable module when the thread completes.*

(See FIG 8, for example, OPEN or CLOSE routines – Note: the limitation can be seen from basis commands in a standard editor such as “save”, “close”, or “exit”, etc.)

As per Claim 19: Shirakabe further discloses, *The system of claim 1, wherein the environment library includes one or more routines for (a) copying in arguments; (b) creating communication channels that connect the loadable module to the executable program; (c) requesting a block of memory from the operating system and storing a structure therein that describes the application code; and (d) putting data describing the application code on a task list.* (See FIGs 1-8, Claimed functionality is a performance through repeated limitations of Claim 1).

As per Claim 20: Shirakabe further discloses, *The system of claim 19, wherein the environment library further includes one or more routines for (a) removing said data describing the application code from the task list;*

(b) closing said communication channels that connect the loadable module to the executable program; and (c) freeing the block of memory that was requested from the operating system.

(See FIG 8, for example, OPEN or CLOSE routines – Note: the limitation can be seen from basis commands in a standard editor such as “save”, “close”, or “exit”, etc.).

As per Claim 21: The claim recites a computer readable storage medium in which the functionality performs the method in Claim 1. See rationale addressed in Claim 1 above for the rejection of Claim 21.

As per Claim 22: Shirakabe further discloses, *The computer readable medium of claim 21, wherein the computer instructions for loading the loadable module into the running operating system kernel include computer instructions for executing a utility for installing the loadable module into the running operating system kernel.* See rationale addressed in Claim 10 above for the rejection of Claim 22.

As per Claim 23: Shirakabe further discloses, *The computer readable medium of claim 22, wherein the utility for installing the loadable module into the running operating system kernel is the insmod program.*

See rationale addressed in Claim 11 above for the rejection of Claim 23. Note: “insmod program” is subjected to discussion in MPEP 2115. A limitation used in the claim must impart functionality, otherwise it is treated as material worked upon and does not limit the claim.

As per Claim 24: Shirakabe further discloses, *The computer readable medium of claim 21, wherein the second set of computer instructions includes instructions for compiling the application code into object code.* See rationale addressed in Claim 12 above for the rejection of Claim 24.

As per Claim 25: Shirakabe further discloses, *The computer readable medium of claim 24, wherein the second set of computer instructions further includes instructions for linking said object code with object code from the environment library to produce a linked object module.*

See rationale addressed in Claim 13 above for the rejection of Claim 25.

As per Claim 26: Shirakabe further discloses, *The computer readable medium of claim 25, wherein the third set of computer instructions includes instructions for converting the linked object module into a C code array.*

See rationale addressed in Claim 14 above for the rejection of Claim 26.

As per Claim 27: Shirakabe further discloses, *The computer readable medium of claim 26, wherein the third set computer instructions of further includes instructions for compiling the C code array to produce an object file and for linking said object file with object code from a library to produce the executable program.*

See rationale addressed in Claim 15 above for the rejection of Claim 27.

As per Claim 28: Shirakabe further discloses, *The computer readable medium of claim 21, wherein the first set of computer instructions includes instructions for creating kernel/user channels.* See rationale addressed in Claim 16 above for the rejection of Claim 28.

As per Claim 29: Shirakabe further discloses, *The computer readable medium of claim 21, wherein the first set of computer instructions includes instructions for creating a thread to execute the application code.* See rationale addressed in Claim 17 above for the rejection of Claim 29.

As per Claim 30: Shirakabe further discloses, *The computer readable medium of claim 29, wherein the first set of computer instructions includes instructions for freeing resources and unloading the loadable module when the thread completes.* See rationale addressed in Claim 18 above for the rejection of Claim 30.

As per Claim 31: Shirakabe further discloses, *The computer readable medium of claim 21, wherein the first set of computer instructions includes instructions for (a) creating communication channels that connect the loadable module to the executable program; (b) requesting a block of memory from the operating system and storing a structure therein that describes the application code; and (c) putting data describing the application code on a task list.* See rationale addressed in Claim 19 above for the rejection of Claim 31.

As per Claim 32: Shirakabe further discloses, *The computer readable medium of claim 31, wherein the first set of computer instructions further includes instructions for (a) removing said data describing the application code from the task list; (b) closing said communication channels that connect the loadable module to the executable program; and (c) freeing the block of memory that was requested from the operating system.*

See rationale addressed in Claim 20 above for the rejection of Claim 32.

As per Claim 33: Shirakabe further discloses, *The computer readable medium of claim 21, wherein the executable program may be in several files or a single file.*

See rationale addressed in Claim 4 above for the rejection of Claim 33.

As per Claim 34: The claim recite a “computer system” in which the functionality performs the method in Claim 1. See rationale addressed in Claim 1 above for the rejection of Claim 34.

As per Claim 35: Shirakabe further discloses, *The computer system of claim 34, wherein means for loading the loadable module into the running operating system kernel include means for executing a utility for installing the loadable module into the running operating system kernel.*

See rationale addressed in Claim 10 above for the rejection of Claim 35.

As per Claim 36: Shirakabe discloses, *The computer system of claim 35, wherein the utility for installing the loadable module into the running operating system kernel is the insmod program.*

See rationale addressed in Claim 11 above for the rejection of Claim 36. Note: “insmod program” is subjected to discussion in MPEP 2115. A limitation used in the claim must impart functionality, otherwise it is treated as material worked upon and does not limit the claim.

As per Claim 37: Shirakabe discloses, *The computer system of claim 34, wherein the second means includes means for compiling the application code into object code.* See rationale addressed in Claim 12 above for the rejection of Claim 37.

As per Claim 38: Shirakabe discloses, *The computer system of claim 37, wherein the second means further includes means for linking said object code with object code from the environment library to produce a linked object module.* See rationale addressed in Claim 13 above for the rejection of Claim 38.

As per Claim 39: Shirakabe discloses, *The computer system of claim 38, wherein the third means includes means for converting the linked object module into a C code array.* See rationale addressed in Claim 14 above for the rejection of Claim 39.

As per Claim 40: Shirakabe discloses, *The computer system of claim 39, wherein the third means further includes instructions for compiling the C code array to produce an object file and for linking said object file with object code from a library to produce the executable program.* See rationale addressed in Claim 15 above for the rejection of Claim 40.

As per Claim 41: Shirakabe discloses, *The computer system of claim 34, wherein the first means includes means for creating kernel/user channels.* See rationale addressed in Claim 16 above for the rejection of Claim 41.

As per Claim 42: Shirakabe discloses, *The computer system of claim 34, wherein the first means includes means for creating a thread to execute the application code.* See rationale addressed in Claim 17 above for the rejection of Claim 42.

As per Claim 43: Shirakabe discloses, *The computer system of claim 42, wherein the first means includes means for freeing resources and unloading the loadable module when the thread completes.* See rationale addressed in Claim 18 above for the rejection of Claim 43.

As per Claim 44: Shirakabe discloses, *The computer system of claim 34, wherein the first means includes means for (a) creating communication channels that connect the loadable module to the executable program; (b) requesting a block of memory from the operating system and storing a structure therein that describes the application code; and (c) putting data describing the application code on a task list.*

See rationale addressed in Claim 19 above for the rejection of Claim 44.

As per Claim 45: Shirakabe discloses, *The computer system of claim 44, wherein the first means further includes means for (a) removing said data describing the application code from the task list; (b) closing said communication channels that connect the loadable module to the executable program; and (c) freeing the block of memory that was requested from the operating system.*

See rationale addressed in Claim 20 above for the rejection of Claim 45.

As per Claim 46: Shirakabe discloses, *The computer system of claim 34, wherein the executable program may be in several files or a single file.* See rationale addressed in Claim 4 above for the rejection of Claim 46.

As per Claim 47: See rationale addressed in Claim 5 above for the rejection of Claim 47.

As per Claim 48: Shirakabe discloses, *The computer system of claim 47, wherein the loadable module is configured to perform a method after the loadable module is inserted into the operating system address space, wherein said method comprises the steps of: creating kernel/user channels; creating a thread to execute the application code; and waiting for the thread to complete.* See rationale addressed in Claim 6 above for the rejection of Claim 48.

As per Claim 49: See rationale addressed in Claim 7 above for the rejection of Claim 49.

As per Claim 50: Shirakabe discloses, *The computer system of claim 47, wherein the step of inserting the loadable module into an operating system address space includes the step of creating a child process, wherein the child process replaces its image with the insmod process image* (See the editor using in FIGs 1, 8).

As per Claim 51: Shirakabe discloses, *The computer system of claim 50, wherein the step of inserting the loadable module into an operating system address space further includes the step of piping the loadable module to the insmod process.* (See the editor using in FIGs 1, 8).

As per Claim 52: Shirakabe discloses, *A method for dynamically linking application code created by a user into a running operating system kernel, comprising:*
constructing a loadable module from application source code written by a user, the loadable module including a module input and module output; setting up input/output channels by connecting to a standard input and standard out of a running operating system kernel to the module input and module output (See FIG. 1, Shirakabe shows loadable modules are created within libraries seen via an Editor);

creating an executable program, wherein the executable program is configured to transparently load the loadable module into the running operating system kernel (See FIG. 8, Shirakabe shows transparently loading the loadable modules as noted in FIG. 1, into the running operating system;

executing the executable program, thereby loading the loadable module into the running operating system kernel (See Col. 5:24-65);

and unloading the loadable module from the running operating system kernel by sending a termination signal to the executable program, wherein the executable program is insulated from the running operating system kernel, and wherein the insulating of the executable program allows the executable program to perform a function by executing a routine that is

standard to the executable program instead of by using a routine that pertains to the running operating system kernel.

(See FIG. 8, provided with OPEN/CLOSE routines).

-Shirakabe does not address the language of the claim “*by connecting to a standard input and standard out of a running system kernel to the module input and module output*”.

- However, Adams et al. discloses the limitation by using a config that establishes routing for connects input/output a kernel to modules (See FIG. 3A, FIG. 8, and See FIG. 10, which shows a standard input/output of a running kernel is mapped to a selector for connecting to input/output of routines). The disclosure appears for requirement of the art because without connection, because without the handshake of the selector (channel), there is no way for running.

- Therefore, it is obvious to any ordinary in the art for combining the teachings, i.e. a standard input and input handshaking (appeared being admitted by the language of the claim as being “standardized” from a given kernel) for supporting devices modules with kernel which is required by computer operating system. Thus, with input/output selector of Adams is for conforming to the requirement, it is obvious for yielding predictable results when it is combined into the dynamically loading modules of Shirakabe.

As per Claim 53: Shirakabe discloses, *The method of claim 52, wherein the application source code is an ordinary application program.* (The OBJECT MODULES shown in FIG 1 are loadable modules of an application that could be original).

As per Claim 54: Shirakabe discloses, *The method of claim 52, wherein the step of constructing the loadable module from the application source code consists essentially of executing a pre-defined makefile.*

(Such OBJECT MODULES shown in FIG 1 are pre-executable before loaded).

As per Claim 55: Shirakabe discloses, *The method of claim 52, further comprising the step of providing a makefile to the user, wherein the user performs the step of constructing the loadable module by executing the makefile after the user has created the application code.*

This claim is associated with user intervention; every acts performed by a user is prior art. The reference is operable by a user via the editor in term of choice. For example, a user uses an editor to create a file, to compile the file, to execute the file. This is a basis of program generation.

As per Claim 56: Shirakabe discloses, *The method of claim 52, further comprising the step of providing the user with a library comprising object code, wherein the step of constructing the loadable module from the application source code comprises the steps of compiling the application source code into object code; linking the object code with object code from the library to produce a linked object module; and converting the linked object module into a C code array. (See FIG. 1: OBJECT MODULES, and rationale addressed in Claim 14).*

As per Claim 57: Shirakabe discloses, *The method of claim 56, wherein the step of constructing the loadable module further comprises the step of compiling the C code array to produce an object file.*

See rationale addressed in Claim 15.

As per Claim 58: Shirakabe discloses, *The method of claim 57, further comprising the step of providing the user with a second library comprising object code, wherein the step of constructing the executable program comprises the steps of linking the object file with object code from the second library* (See FIG. 1 or FIG. 8).

As per Claim 59: Shirakabe discloses, *The method of claim 56, wherein the library includes one or more routines to create kernel/user channels.* (See FIG. 1, FIG. 8);

As per Claim 60: Shirakabe discloses, *The method of claim 56, wherein the library includes one or more routines to create a thread to execute the application code* (c.g. see col. 6:27:30, or col. 5:45-46: CALL KSUBm);

As per Claim 61: Shirakabe discloses, *The method of claim 60, wherein the library includes one or more routines for freeing resources and unloading the loadable module when the thread completes* (E.g. see FIG. 1, an address space YYY is call and RETURN).

As per Claim 62: Shirakabe discloses, *The method of claim 56, wherein the library includes one or more routines for (a) copying in arguments; (b) creating communication channels that connect the loadable module to the executable program; (c) requesting a block of memory from the operating system and storing a structure therein that describes the application code; and (d) putting data describing the application code on a task list.* See rationale addressed in Claim 19 above.

As per Claim 63: Shirakabe discloses, *The method of claim 62, wherein the environment library further includes one or more routines for (a) removing said data describing the application code from the task list; (b) closing said communication channels that connect the loadable module to*

the executable program; and (c) freeing the block of memory that was requested from the operating system. See rationale addressed in Claim 20 above.

As per Claim 64: Shirakabe discloses, *The method of claim 52, wherein the executable program is configured to set up input/output channels. See rationale addressed in Claim 3 above.*

As per Claim 65: Shirakabe discloses, *The method of claim 52, wherein the executable program is configured to execute a utility for installing the loadable module into the running operating system kernel. See rationale addressed in Claim 10 above.*

As per Claim 66: Shirakabe discloses, *The method of claim 65, wherein the utility for installing the loadable module into the running operating system kernel is the insmod program. See rationale addressed in Claim 11 above.*

As per Claim 67: Shirakabe discloses, *The method of claim 65, wherein the step of inserting the loadable module into an operating system address space includes the step of creating a child process, wherein the child process replaces its image with the insmod process image. (See FIG. 1, FIG. 8).*

As per Claim 68: Shirakabe discloses, *The method of claim 67, wherein the step of inserting the loadable module into an operating system address space further includes the step of piping the loadable module to the insmod process (See FIG. 1, FIG. 8).*

Conclusion

10. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ted T. Vo whose telephone number is (571) 272-3706. The examiner can normally be reached on 8:00AM to 4:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wei Y. Zhen can be reached on (571) 272-3708.

The facsimile number for the organization where this application or proceeding is assigned is the Central Facsimile number **571-273-8300**.

Any inquiry of a general nature or relating to the status of this application should be directed to the TC 2100 Group receptionist: 571-272-2100. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

TTV
April 24, 2009

/Ted T. Vo/
Primary Examiner, Art Unit 2191